## § 28.1

_						
H'1	71	T	r	NT	~	г

- 28.65 Service of documents.
- Admissibility. 28.66
- 28.67 Production of statements.
- 28.68Stipulations.
- 28.69 Judicial notice.

#### INTERLOCULTORY APPEALS

- Explanation. 28.80
- 28.81 Procedures and criteria for certification.

#### BOARD DECISIONS, ATTORNEY'S FEES AND JUDICIAL REVIEW

- 28.86 Board procedures; recommended decisions.
- 28.87 Board procedures; initial decisions.
- 28.88 Board procedures; enforcement.
- Attorney's fees and costs.
- Board procedures; judicial review.

#### Subpart C—Oversight Procedures

- 28.91 General.
- 28.92 Oversight of GAO EEO program.

### Subpart D—Special Procedures; Equal **Employment Opportunity (EEO) Cases**

- 28.95 Purpose and scope.
- Applicability of general procedures.
- 28.97 Class actions in EEO cases.
- 28.98 Individual charges in EEO cases.
- 28.99 Petitions for review to the Board in EEO cases.
- 28.100 [Reserved] 28.101 Termination of Board proceedings when suit is filed in Federal District

### Subpart E—Special Procedures; **Representation Proceedings**

- 28.110 Purpose.
- 28.111 Scope.
- 28.112 Who may file petitions.
- 28.113 Contents of representation petitions.
- 28.114 Pre-investigation proceedings.
- 28.115 Processing petitions.
- 28.116 Conduct of elections.

## Subpart F—Special Procedures; Unfair Labor Practices

- 28.120 Authority of the Board.
- 28.121 Unfair labor practices; Board procedures.
- 28.122 Negotiability issues; compelling need.
- 28.123 Standards of conduct for labor orga-
- 28.124 Review of arbitration awards.

### Subpart G—Corrective Action, Disciplinary and Stay Proceedings

- 28.130 General authority.
- 28.131 Corrective action proceedings.

- 28.132 Disciplinary proceedings.
- 28.133 Stay proceedings.

#### Subpart H—Appeals by Members of the Senior Executive Service

- 28.140 Personnel actions involving SES members.
- 28.141 Performance based actions.

### **Subpart I—Ex Parte Communications**

- 28.145 Policy.
- 28.146 Explanation and definitions.
- 28.147 Prohibited communications.
- 28.148 Reporting of communications.
- 28.149 Sanctions.

### Subpart J—Statements of Policy or Guidance

28.155 Statement of policy or guidance.

AUTHORITY: 31 U.S.C. 753

Source: 58 FR 61992, Nov. 23, 1993, unless otherwise noted.

# Subpart A—Purpose, General Definitions, and Jurisdiction

# §28.1 Purpose and scope.

- (a) The regulations in this part implement the Board's authority with respect to employment practices within the General Accounting Office (GAO), pursuant to the General Accounting Office Personnel Act of 1980, 31 U.S.C. 751-755. Regulations implementing the Board's authority with respect to employment practices within the Architect of the Capitol, pursuant to the Architect of the Capitol Human Resources Act, Public Law 103-283, sec. 312, 108 Stat. 1443 (July 22, 1994), appear in 4 CFR part 29.
- (b) The purpose of the rules in this part is to establish the procedures to be followed:
- (1) By the GAO, in its dealings with the Board:
- (2) By employees of the GAO or applicants for employment with the GAO, or by groups or organizations claiming to be affected adversely by the operations of the GAO personnel system;
- (3) By employees or organizations petitioning for protection of rights or extension of benefits granted to them under Subchapters III and IV of Chapter 7 of Title 31, U.S.C.; and

- (4) By the Board, in carrying out its responsibilities under Subchapters III and IV of Chapter 7 of Title 31, U.S.C.
- (c) The scope of the Board's operations encompasses the investigation and, where necessary, adjudication of cases arising under 31 U.S.C. 753. In addition, the Board has authority for oversight of the equal employment opportunity program at GAO. This includes the review of policies and evaluation of operations as they relate to EEO objectives and, where necessary, the ordering of corrective action for violation of or inconsistencies with equal employment opportunity laws.
- (d) In considering any procedural matter not specifically addressed in these rules, the Board will be guided, but not bound, by the Federal Rules of Civil Procedure.

[59 FR 59105, Nov. 16, 1994]

#### §28.2 Jurisdiction.

- (a) The Board has jurisdiction to hear and decide the following actions brought by the General Counsel:
- (1) Proceedings in which the General Counsel seeks to stay a personnel action based upon an alleged prohibited personnel practice that has occurred or is about to occur:
- (2) Proceedings in which the General Counsel seeks corrective action for an alleged prohibited personnel practice; and
- (3) Proceedings in which the General Counsel seeks discipline for a GAO employee who has allegedly committed a prohibited personnel practice or who has engaged in prohibited political activity.
- (b) The Board has jurisdiction to hear any action brought by any person or group of persons in the following subject areas:
- (1) An officer or employee appeal involving a removal, suspension for more than 14 days, reduction in grade or pay, or furlough of not more than 30 days;
- (2) A prohibited personnel practice under 31 U.S.C. 732(b)(2);
- (3) A decision of an appropriate unit of employees for collective bargaining;
- (4) An election or certification of a collective bargaining representative:
- (5) A matter appealable to the Board under the labor-management relations program under 31 U.S.C. 732(e), includ-

- ing an unfair labor practice under 31 U.S.C. 732(e)(1);
- (6) An action involving discrimination prohibited under 31 U.S.C. 732(f)(1); and
- (7) An issue about GAO personnel which the Comptroller General by regulation decides the Board shall resolve.
- (c) Special jurisdictional rules where matters are covered by a negotiated grievance procedure. If a GAO employee is covered by a collective bargaining agreement containing a negotiated grievance procedure that permits the employee to grieve matters that would otherwise be appealable to the Board, the following special rules apply:
- (1) Matters involving discrimination, performance-based reduction in grade or removal, or adverse action. If the negotiated grievance procedure permits the employee to grieve matters involving prohibited discrimination (as defined in §28.95), performance-based reduction in grade or removal (as described in 5 U.S.C. 4303) or an adverse action (as described in 5 U.S.C. 7512), then the employee may elect to raise the matter either under the negotiated grievance procedure or under the Board's procedures, but not both. The employee will be deemed to have elected the Board's procedures if the employee files a timely charge with the Board's General Counsel or files a timely written EEO complaint with GAO before filing a timely written grievance.
- (2) Other matters. If the negotiated grievance procedure permits the employee to grieve any matters which would otherwise be appealable to the Board, other than those listed in paragraph (c)(1) of this section, then those matters may only be raised under the negotiated grievance procedure and not before the Board.
- (3) Board review of final decisions from the negotiated grievance procedure involving discrimination. If an employee elects to pursue a matter involving prohibited discrimination (as defined in §28.95) through the negotiated grievance procedure, the employee may ask the Board to review the final decision of the negotiated grievance procedure as it relates to the issue of discrimination. A petition seeking such review shall be filed with